

# On the classification of toric varieties

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## 1 Torus Symmetries

- Toric objects

## 2 Which complexes support toric objects?

- Wedge operation
- Seed

## 3 Find all available chr. ftn on $K(J)$

- Projected characteristic map
- Puzzle

## 4 Applications

- Number of small covers
- Classification of toric manifolds

# Toric variety

- **toric variety** : a normal complex algebraic variety with algebraic  $(\mathbb{C}^*)^n$ -action having a dense orbit
- **toric manifold** : a compact non-singular toric variety

# Toric variety

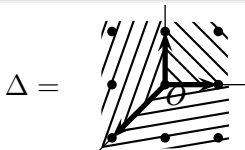
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## Theorem (Fundamental theorem for toric varieties)

The category of toric varieties is *equivalent* to the category of fans.

$$X_{\Delta} \longleftrightarrow \Delta = (K_{\Delta}, \lambda_{\Delta})$$

$K_{\Delta}$  : simplicial complex,  $\lambda_{\Delta} : V(K_{\Delta}) \rightarrow \mathbb{Z}^n$



$$K_{\Delta} = \{\emptyset, 1, 2, 3, 12, 23, 31\}$$

$$\lambda_{\Delta} : 1, 2, 3 \mapsto (1, 0), (0, 1), (-1, -1)$$

# Characteristic map

## Definition

A (non-singular) **characteristic map**  $\lambda$  on  $K$  is a map

$$\lambda: V(K) = [m] \rightarrow \mathbb{Z}^n$$

s.t.  $\{\lambda(i) \mid i \in \sigma\}$  spans **unimodular** submodule of  $\mathbb{Z}^n$  of rank  $|\sigma| \forall \sigma \in K$ .

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Moreover,  $\lambda$  is **fan-giving** if  $\exists \Delta$  s.t.  $(K, \lambda) = (K_\Delta, \lambda_\Delta)$ .

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**Note** : toric manifolds correspond to complete non-singular fans.

Completeness  $\Rightarrow K$  : star-shaped (simplicial sphere)

# Topological analogues of toric manifolds

- Toric manifold  $\Leftrightarrow (K, \lambda)$  s.t.  $\lambda$  is fan-giving ( $K$  is star-shaped)

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<sup>1</sup>M. W. Davis and T. Januszkiewicz, Convex polytopes, Coxeter orbifolds and torus actions, Duke Math. J. 62 (1991)

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# Topological analogues of toric manifolds

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$T^n := (S^1)^n \subset (\mathbb{C}^*)^n$  : (compact real) torus of dimension  $n$

Recall that  $(\mathbb{C}^*)^n$  acts on  $X_\Delta$ ,  $T^n$  also acts on  $X_\Delta$ .

- A **quasitoric manifold**  $M$  of  $\dim 2n$  if  $M \curvearrowright T^n$  locally standard, and  $M/T^n \cong P^n$  simple polytope.  
 $\Leftrightarrow (K, \lambda)$  s.t.  $K$  is **polytopal**.<sup>1</sup>

Recall that a toric manifold admits an algebraic  $(\mathbb{C}^*)^n$ -action.

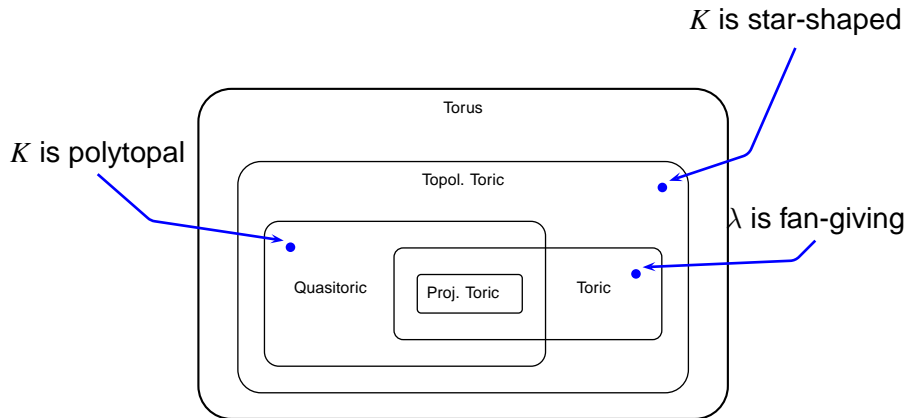
- A **topological toric manifold** is a closed smooth  $2n$ -manifold  $M$  with an effective **smooth**  $(\mathbb{C}^*)^n$ -action with some condition.  
 $\Leftrightarrow (K, \lambda)$  s.t.  $K$  is **star-shaped** (as  $T^n$ -manifold).<sup>2</sup>

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# Torus symmetries



# Real analogues

- $M$  : a toric variety of complex dimension  $n$ .  
Then,  $\exists$  a canonical involution  $\iota$  on  $M$ , and  $M^\iota$  form a real subvariety of real dimension  $n$ , called a **real toric variety**
- Similarly, “real” versions of topol. toric and quasitoric manifolds are **real topological toric manifolds** and **small covers**, resp.

Such real analogues of toric objects can be described as a  $\mathbb{Z}_2$ -version of  $(K, \lambda)$ , that is,  $\lambda: V(K) \rightarrow \mathbb{Z}_2^n$ .

# D-J Equivalence

$K$  : star-shaped with  $V(K) = [m]$  and  $\lambda: V(K) \rightarrow \mathbb{Z}^n$

Denote  $\lambda$  by an  $n \times m$  matrix

$$\lambda = \begin{pmatrix} 1 & 2 & 3 & 4 & \cdots & m \\ \lambda(1) & \lambda(2) & \lambda(3) & \lambda(4) & \cdots & \lambda(m) \end{pmatrix}$$

Note : TFAE

- $M(K, \lambda)$  is **Davis-Januszkiewicz equivalent** to  $M(K, \lambda')$
- $\exists$  weakly equivariant  $f: M(K, \lambda) \rightarrow M(K, \lambda')$  s.t.

$$\begin{array}{ccc} M(K, \lambda) & \xrightarrow{f} & M(K, \lambda') \\ & \searrow & \swarrow \\ & M(K, \lambda)/T^n & \end{array}$$

- $\lambda'$  can be obtained from  $\lambda$  by elementary row operations.

# Classification

To classify toric objects, it seems natural to classify  $K$  supporting a toric object first, and find all available  $\lambda$  on fixed  $K$  (up to D-J equivalence) in each category.

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To classify toric objects, it seems natural to classify  $K$  supporting a toric object first, and find all available  $\lambda$  on fixed  $K$  (up to D-J equivalence) in each category.

- 1 Find all  $K$  which support toric objects.
- 2 Find all available  $\lambda$  on  $K$  when  $K$  supports a toric object.

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## 2 Which complexes support toric objects?

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# Wedge operation

$K$  : simplicial complex on  $V = [m]$  and  $J = (j_1, \dots, j_m) \in \mathbb{N}^m$ .

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Note :  $K$  is **determined** by its minimal non-faces.

Denote by  $K(J)$  the simplicial complex on  $j_1 + \dots + j_m$  vertices

$$\{\underbrace{1_1, 1_2, \dots, 1_{j_1}}, \underbrace{2_1, 2_2, \dots, 2_{j_2}}, \dots, \underbrace{m_1, \dots, m_{j_m}}\}$$

with minimal non-faces

$$\{\underbrace{(i_1)_1, \dots, (i_1)_{j_{i_1}}}, \underbrace{(i_2)_1, \dots, (i_2)_{j_{i_2}}}, \dots, \underbrace{(i_k)_1, \dots, (i_k)_{j_{i_k}}}\}$$

for each minimal non-face  $\{i_1, \dots, i_k\}$  of  $K$ .

The **simplicial wedge operation** or **(simplicial) wedging** of  $K$  at  $i$  is

$$\text{wedge}_i(K) = K(1, \dots, 1, 2, 1, \dots, 1).$$

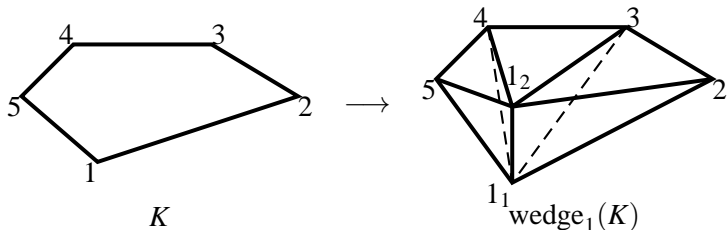
# Example

$K$  : the boundary complex of a pentagon.  
Then the minimal non-faces of  $K$  are

$$\{1, 3\}, \{1, 4\}, \{2, 4\}, \{2, 5\}, \text{ and } \{3, 5\}.$$

Hence, the minimal non-faces of  $\text{wedge}_1(K) = K(2, 1, 1, 1, 1)$  are

$$\{1_1, 1_2, 3\}, \{1_1, 1_2, 4\}, \{2, 4\}, \{2, 5\}, \text{ and } \{3, 5\}.$$




## Observe :

Let  $K$  be a star-shaped simplicial sphere of dim  $n - 1$  with  $m$  vertices.

$m - n \leq 3$  :  $K$  supports toric objects  $\Leftrightarrow K = K'(J)$ , where  $K'$  is cross-polytopes, a pentagon, a cyclic polytope  $C^4(7)$ .<sup>3 4</sup>

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## Definition

A star-shaped simplicial complex is called a **seed** if it cannot be written as a simplicial wedge.

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$K$  supports a toric object in each category if and only if so does  $K(J)$ .

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*$K$  supports a toric object in each category if and only if so does  $K(J)$ .*

What we need is to find all “seed” which supports toric objects in each category! However, there are still many seeds.

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# Finiteness of seeds

Let  $K$  be a star-shaped simplicial sphere of dim  $n - 1$  on  $[m]$

## Proposition

$K$  admits a  $\mathbb{Z}_2$ -characteristic map  $\Leftrightarrow \exists \phi: [m] \rightarrow \mathbb{Z}_2^{m-n}$  s.t.  
 $\{i_1, \dots, i_n\} \in K$  iff  $\{\phi(i) \mid 1 \leq i \leq m, i \neq i_k \text{ for } 1 \leq k \leq n\}$  is a  $\mathbb{Z}_2$ -basis.

Suppose  $K$  supports a  $\mathbb{Z}_2$ -chr map. If  $m \geq 2^{m-n}$ , the map  $\phi$  cannot be one-to-one. Suppose that  $v, w \in [m]$  and  $\phi(v) = \phi(w)$ . Then every facet of  $K$  should contain either  $v$  or  $w$ .

## Lemma

For  $v, w \in [m]$ , if every facet of  $K$  contains either  $v$  or  $w$ , then  $K$  is equivalent to  $L * \partial I$  or  $\text{wedge}_v L$  for some simplicial complex  $L$ .



# Finiteness of seeds

## Theorem

*K supports a toric object and is a seed*  $\implies m \leq 2^{m-n}$ .

**Note** : The “equality” holds only when  $m - n \leq 2$ .

## Corollary

*For fixed  $m - n$ , there are finitely many seeds.*

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## Example

$$m - n = 3 \implies m \leq 2^{m-n} - 1 = 7.$$

- $n = 2$  :  $K$  is a pentagon  $P_5$ .
- $n = 3$  : There are 2 simplicial spheres. Only one  $\partial(I^1)^3$  is a seed.
- $n = 4$  : There are 5 simplicial spheres. Only one  $C^4(7)$  is a seed.

## Example

$$m - n = 4 \quad \Rightarrow \quad m \leq 2^{m-n} - 1 = 15.$$

- $n = 2$  :  $K$  is a hexagon  $P_6$ .
- $n = 3$  : There are 5 simplicial spheres. Two of them are seeds.
- $n = 4$  : There are 39 simplicial spheres. <sup>a</sup> 24 of them are seeds. 22 of them support toric objects.
- $n = 5, \dots, 15$  : ?.

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<sup>a</sup>B. Grunbaum, V.Sreedharan, An enumeration of simplicial 4-polytopes with 8 vertices, J. Combi. Theory (1967)

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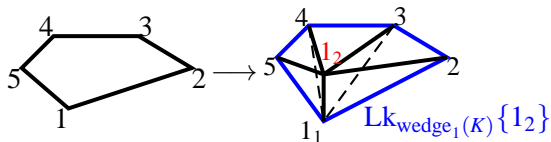
# Projected characteristic map

Let  $K$  be a simplicial complex and  $\sigma \in K$ .

The **link** of  $\sigma$  is

$$\mathbf{Lk}_K \sigma := \{\tau \in K \mid \sigma \cup \tau \in K, \sigma \cap \tau = \emptyset\}.$$

Note :  $\mathbf{Lk}_{\text{wedge}_v K} \{v_1\} \cong K$



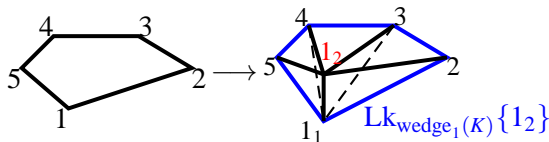
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Let  $(K, \lambda)$  be a char. map of dim  $n$  and  $\sigma \in K$ .

## Definition

A characteristic map  $(\mathbf{Lk}_K \sigma, \text{Proj}_\sigma \lambda)$ , called the **projected characteristic map**, is defined by the map

$$(\text{Proj}_\sigma \lambda)(v) = [\lambda(v)] \in \mathbb{Z}^n / \langle \lambda(w) \mid w \in \sigma \rangle \cong \mathbb{Z}^{n-|\sigma|}.$$

Note :  $(\mathbf{Lk}_K \sigma, \text{Proj}_\sigma \lambda)$  is a char. submanifold of  $(K, \lambda)$ .

# Classification

$K$  : star-shaped simplicial sphere

## Theorem

Assume that  $\lambda_1$  and  $\lambda_2$  are char. maps over  $\text{wedge}_v(K)$  s.t.  $\text{Proj}_{v_i} \lambda_1 = \text{Proj}_{v_i} \lambda_2$  for  $i = 1, 2$ . Then  $\lambda_1$  is D-J equivalent to  $\lambda_2$ .

## Theorem

- $\text{wedge}_v(K)$  is polytopal  $\iff K$  is polytopal.
- $\lambda$  is fan-giving  $\iff$  both  $\text{Proj}_{v_1} \lambda$  and  $\text{Proj}_{v_2} \lambda$  are fan-giving.

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## Theorem

- $\text{wedge}_v(K)$  is polytopal  $\iff K$  is polytopal.
- $\lambda$  is *fan-giving*  $\iff$  both  $\text{Proj}_{v_1} \lambda$  and  $\text{Proj}_{v_2} \lambda$  are fan-giving.

Roughly speaking.. if one knows every (real) toric object over  $K$ , then we know every (real) toric object over  $\text{wedge}_v(K)$ .



## Definition

The **pre-diagram**  $D'(K)$  of  $K$  is an edge-colored multi-graph:

- 1 the vertex set  $V$  whose elements are the D-J classes of  $K$ ,
- 2 the edge set  $E$  whose elements are  $\{\lambda_1, \lambda_2\}$  with color  $v \in V(K)$ , where  $\exists$  a chr map  $\lambda$  over  $\text{wedge}_v K$  s.t.  $\text{Proj}_{v_i} \lambda = \lambda_i$  for  $i = 1, 2$ .

$$\begin{array}{ccc} \lambda_{11} & \xrightarrow{v} & \lambda_{12} \\ \left| \vphantom{\lambda_{11}} \right. w & & \left| \vphantom{\lambda_{12}} \right. w \\ \lambda_{21} & \xrightarrow{v} & \lambda_{22} \end{array}$$

## Definition

A square in the figure is **realizable** if  $\exists$  a chr map  $\lambda$  over  $\text{wedge}_w(\text{wedge}_v K)$  s.t.  $\text{Proj}_{w_i}(\text{Proj}_{v_j} \lambda) = \lambda_{ij}$  for  $i, j = 1, 2$ .

# Puzzle

Let  $K$  be a seed with  $m$  vertices  $[m]$ , and  $J = (j_1, \dots, j_m) \in \mathbb{N}^m$ . Consider the 1-skeleton  $G$  of  $\Delta^{j_1-1} \times \dots \times \Delta^{j_m-1}$ . Then, each edge  $e$  is of the form

$$e = v_1 \times \dots \times v_{i-1} \times e_i \times v_{i+1} \times \dots \times v_m,$$

where  $v_k$  is a vertex of  $\Delta^{j_k-1}$ , and  $e_i$  is an edge of  $\Delta^{j_i-1}$ . Then we may color this edge by  $i$ .

## Theorem

*There is 1-1 correspondence between the set of  $D$ - $J$  classes over  $K(J)$  and the set of graph maps  $\varphi$  from  $G$  to  $D'(K)$  satisfying*

- 1  $\varphi$  preserves the color of edge.
- 2 The image of every square of  $G$  is realizable.

# Classification

## Definition

A *diagram*  $D(K)$  for a seed  $K$  is  $D'(K)$  equipped with the information of realizable squares.

## Corollary

The  $D$ - $J$  classes over  $K(J)$  is completely determined by  $D(K)$ .

Two edges  $e$  and  $f$  of  $G$  are **parallel** if

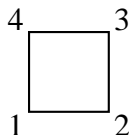
$$e = v_1 \times \cdots \times v_{i-1} \times e_i \times v_{i+1} \times \cdots \times v_m, \quad \text{and}$$
$$f = v'_1 \times \cdots \times v'_{i-1} \times e_i \times v'_{i+1} \times \cdots \times v'_m$$

## Proposition

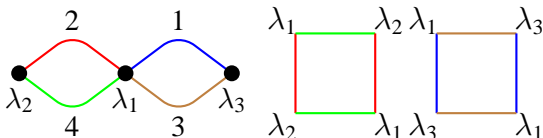
If  $\varphi(e)$  collapses, then the image of all edges parallel to  $e$  collapse.

# Puzzle : Example

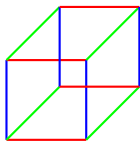
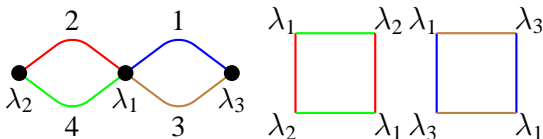
$K$  : 4-gon,  $\lambda: [4] \rightarrow \mathbb{Z}_2^2$  chr map



$$\lambda_1 = \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{pmatrix}, \quad \lambda_2 = \begin{pmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \end{pmatrix}, \quad \lambda_3 = \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 \end{pmatrix}$$



Over  $K(2, 2, 1, 2)$ ,



- all edges collapse : 3 small covers
- two sets of parallel edges collapse :  $3 \times 2$  small covers
- one set of parallel edges collapse : 2 small covers
- no edges collapse : 0 small covers

More generally, over  $K(a + 1, b + 1, c + 1, d + 1)$ ,

there are  $3 + (2^{a+c} - 2) + (2^{b+d} - 2)$  small covers.

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# Cyclic polytope $C^4(7)$

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$K = C^4(7)$  is a seed.

$$\lambda_1 = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix} \text{ and } \lambda_2 = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 \end{pmatrix}.$$

$$\bullet \quad \bullet \\ \lambda_1 \quad \lambda_2$$



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$$\bullet \quad \bullet \\ \lambda_1 \quad \lambda_2$$

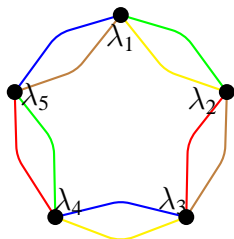
Therefore,  $\#(K(J)) = 2$  for any  $J$ .

# Pentagon

$K = P_5$  is a seed.

$$\lambda_1 = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 1 \end{pmatrix}, \lambda_2 = \begin{pmatrix} 1 & 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 \end{pmatrix}, \lambda_3 = \begin{pmatrix} 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 1 \end{pmatrix},$$

$$\lambda_4 = \begin{pmatrix} 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 \end{pmatrix}, \quad \text{and} \quad \lambda_5 = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \end{pmatrix}.$$



$$\#DJ(P_5(a, b, c, d, e)) = 2^{a+c-1} + 2^{b+d-1}$$

$$+ 2^{c+e-1} + 2^{d+a-1} + 2^{e+b-1} - 5.$$

# Toric manifolds with small Picard number

By applying above way to the category of toric manifolds, we obtain the following corollaries.

## Corollary

*We classify all toric manifolds of Picard number 3.*

**Note** : It improves the result of Batyrev<sup>5</sup> **without using** the fact that such objects are projective.<sup>6</sup>


## Corollary

*All toric manifolds of Pic 3 are projective.*

**Note** : The above result improves the proof by Kleinschmidt-Sturmfels.

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<sup>5</sup>V. V. Batyrev, On the classification of smooth projective toric varieties, Tohoku Math. J. (2) 43 (1991)

<sup>6</sup>P. Kleinschmidt, B. Sturmfels, Smooth toric varieties with small picard number are projective, Topology 30 (1991) 

# Conclusion

In order to classify toric objects (in any category) with  $m - n = k$ ,

- 1 Find all seeds  $K$ . (There are **finitely many seeds**.)
- 2 Find all available  $\lambda$  over  $K$  and compute  $D(K)$ . (Local information)
- 3 Using the **puzzle**, we construct all available  $\lambda$  over  $K(J)$ .